PTO/SB/08B (08-03)
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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				**Application Number**	
				Filing Date	October 9, 2003
(Use as many sheets as necessary)				**First Named Inventor**	Hongyou Fan
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	SD7514/S102261

NON PATENT LETERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		GERION, et al., J. Phys. Chem. B 2001, 105, 8861-8871	☐
		DUBERTRET, et al., Science, V. 298, 11/29/2002	☐
		TIWARI, et al., Appl. Phys. 68 10 3/4/1996	☐
		WUISTER, et al., Nano Letters, 2003, V. 3, 4, 503-507	☐
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Examiner Signature		Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	
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Examiner Name	
Attorney Docket Number	SD7514/S102261

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. [1]	Document Number Number-Kind Code [2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relavant Figures Appear
		US-6,326,326 B1	12/4/2001	Feng, et al.	
		US-5,858,457	1/12/1999	Brinker, et al.	
		US-6,458,431 B2	10/1/2002	Hill, et al.	
		US-6,319,426 B1	11/20/2001	Bawendi, et al.	
		US-6,548,264 B1	4/15/2003	Tan, et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. [1]	Foreign Patent Document Country Code [3] Number [4] Code [5] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relavant Figures Appear	T [6]

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SD7514/S102,261
Express Mail No.: EV332388179US

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANTS:	Hongyou Fan, Gabriel P. Lopez, and C. Jeffrey Brinker	
SERIAL NO.:	Unknown	GROUP NO.
FILED:	October 9, 2003	EXAMINER:
FOR:	Sself-Assembly of Water-Soluble Nanocrystals	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 9, 2003

INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Form PTO - 1449 (Modified).

3. The person making this statement is the attorney or agent who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's or agent's file.

Dated: 10-8-03

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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U. S. Postal Service on the date shown below as Express Mail No. **EV332388179US** in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Mail Stop Patent Application, Alexandria, VA 22313-1450.

Dated: 10/9/03

Martha Trujillo

Martha Trujillo